

PATENT

DOCKET NO. CGNE.023.08US

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 1 of 4

JCS68 U.S. PTO  
09/447505  
11/23/99

In re application of: Goodman, *et al* ]  
Serial No. ~~Not Yet Assigned~~ 09 447505 ]  
Filed: November 23, 1999 ]

Art Unit: ~~Not Yet Assigned~~ 1638  
Examiner: ~~Not Yet Assigned~~ C. COLLINS

U. S. PATENT DOCUMENTS

Ref. Design	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
A1	CC	4,399,216	08/16/83	Axel <i>et al.</i>	435/68	2/25/80
A2		4,530,901	07/23/85	Weissman	435/70	2/4/80
A3		4,216,203	8/1980	Johnston	424/85	7/14/78
A4		4,278,661	7/1981	Knight, Jr.	424/85	10/12/79
A5		4,771,002	9/1998	Gelvin	435/172.3	2/24/84
A6		4,956,282	9/1990	Goodman <i>et al.</i>	435/69.51	7/29/85
A7		5,102,796	4/1992	Hall <i>et al.</i>	435/172.3	1/20/88
A8		5,550,038	8/1996	Goodman <i>et al.</i>	435/70.1	12/8/93
A9	✓	5,629,175	5/1997	Goodman <i>et al.</i>	435/69.1	6/5/95

Examiner:

*Cynthia Collins*

Date Considered:

2/20/04

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609.  
Draw a line through the citation if not in conformance and not considered. Include a copy of this  
form with next communication to applicant.

PATENT

DOCKET NO. CGNE.023.08US

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 2 of 4JC568 U.S. PTO  
09/447505  
11/23/99In re application of: Goodman, *et al* ]  
Serial No. ~~Not Yet Assigned~~ 09447505 ]  
Filed: November 23, 1999 ]Art Unit: ~~Not Yet Assigned~~ 1638  
Examiner: ~~Not Yet Assigned~~ C. COLLINS

## FOREIGN PATENT DOCUMENTS

Ref. Desig.n	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	(If appropriate) Filing Date
B1	CC	WO84/02913	02/08/84	PCT		
B2		WO84/02920	02/08/84	PCT		
B3		WO84/00466	16/02/84	PCT		
B4		EPA O 095 350	30/11/83	EPO		
B5		EPA O 135 291	27/03/85	EPO		
B6		WO85/04899	07/11/85	PCT		
B7		EPA O 108 580	16/05/84	EPO		
B8		WO87/00551	01/28/87	PCT		

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Examiner's  
Desig. Initials

Examiner:

Cynthia Collins

Date Considered:

2/20/04

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609.  
Draw a line through the citation if not in conformance and not considered. Include a copy of this  
form with next communication to applicant.

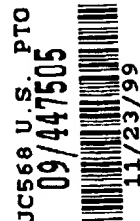
PATENT

DOCKET NO. CGNE.023.08US

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

Sheet 3 of 4



In re application of: Goodman, *et al* ]  
Serial No. ~~Not Yet Assigned~~ 09447505 ]  
Filed: November 23, 1999 ]

Art Unit: ~~Not Yet Assigned~~ 1638

Examiner: ~~Not Yet Assigned~~ C. COLLINS

- C1 *cc* Depicker *et al.*, Genetic Engineering of Plant Cells- An. Agr. Persp. (1983  
Plenum Press, NY. NY. pgs. 144-148
- C2 Fraley, R.T. *et al.*, "Use of a chimeric gene to confer antibiotic resistance to plant  
cells," Adv. Gene Technol. Miami Winter Symp. 20 pp. 211-21
- C3 Fraley *et al.*, BioTechnology (1985) 3:629-635
- C4 Fraley *et al.*, Proc. Natl. Acad. Sci. USA (1983) 80:4803-4807
- C5 Goodman *et al.*, Science (1987) 236: 48-54
- C6 Gray *et al.*, Nature (1982) 295:503-508
- C7 Hernalsteens *et al.*, EMBO, (1984) 3(13): 3039-3041
- C8 Herrera-Estrella *et al.*, Nature, 1984 310: 115-120
- C9 Hiatt *et al.*, Biotechnology, (1989) 342: 77-78
- C10 Hooykaas-Van Slogteren *et al.*, Nature, (1984) 311: 763-764
- C11 Jaynes and Strobel, International Review of Cytology (1981) Supp 13: 105-125
- C12 Koncz *et al.*, EMBO (1984) 3(5): 1029-1037
- C13 Leemans *et al.*, Molecular Biology of Plant Tumors (1982) Academic Presws, N.Y.,  
N.Y. pgs. 537-545

Examiner:

*Cynthia Collins*

Date Considered:

*2/20/04*

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609.  
Draw a line through the citation if not in conformance and not considered. Include a copy of this  
form with next communication to applicant.

PATENT

DOCKET NO. CGNE.023.08US

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 4 of 4



In re application of: Goodman, *et al* ]  
Serial No. ~~Not Yet Assigned~~ 09447505 ]  
Filed: November 23, 1999 ]

Art Unit: ~~Not Yet Assigned~~

1638

Examiner: ~~Not Yet Assigned~~

C. COLLINS

C14 *cc* Lefebure *et al.*, Biotechnology (1987) 5: 1053-1056  
C15 Murai *et al.*, Science (1983) 222: 476-482  
C16 Odell *et al.*, Nature 313: 810-812  
C17 Paszkowski *et al.*, EMBO (1984) 3: 2717-2722  
C18 Potrykus *et al.*, Mol Gen. Genet. (1985) 199: 183-188  
C19 Shaw *et al.*, Gene (1983) 23:315-330  
C20 Sijmons *et al.*, Biotechnology (1990) 8:217-221  
C21 Velten *et al.*, EMBO (1984) 3: 2723-2730

Examiner:

*Cynthia Collins*

Date Considered:

2/20/04

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609.  
Draw a line through the citation if not in conformance and not considered. Include a copy of this  
form with next communication to applicant.